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PTO/SB/05 (03-01)

Approved for use through 10/31/2002. OMB 0651-0032  
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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# UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. 9412-59294  
First Inventor Robicheaux, Michael R.  
Title System for Removing Organics  
from a Wastewater Stream  
Express Mail Label No. ET265138026US

## APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

1. ☒ Fee Transmittal Form (e.g., PTO/SB/17)  
(Submit an original and a duplicate for fee processing)
2. ☒ Applicant claims small entity status.  
See 37 CFR 1.27.
3. ☒ Specification [Total Pages 12]  
(preferred arrangement set forth below)
  - Descriptive title of the invention
  - Cross Reference to Related Applications
  - Statement Regarding Fed sponsored R & D
  - Reference to sequence listing, a table, or a computer program listing appendix
  - Background of the Invention
  - Brief Summary of the Invention
  - Brief Description of the Drawings (if filed)
  - Detailed Description
  - Claim(s)
  - Abstract of the Disclosure
4. ☒ Drawing(s) (35 U.S.C. 113) [Total Sheets 2]
5. Oath or Declaration [Total Pages 3]
  - a. ☒ Newly executed (original or copy)  
Copy from a prior application (37 CFR 1.63 (d))  
(for continuation/divisional with Box 18 completed)
  - b. ☐ **DELETION OF INVENTOR(S)**  
Signed statement attached deleting inventor(s)  
named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
6. ☐ Application Data Sheet. See 37 CFR 1.76

ADDRESS TO: Assistant Commissioner for Patents  
Box Patent Application  
Washington, DC 20231

7. ☐ CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
  - a. ☐ Computer Readable Form (CRF)
  - b. Specification Sequence Listing on:
    - i. ☐ CD-ROM or CD-R (2 copies); or
    - ii. ☐ paper
  - c. ☐ Statements verifying identity of above copies

## ACCOMPANYING APPLICATION PARTS

9. ☐ Assignment Papers (cover sheet & document(s))
10. ☐ 37 CFR 3.73(b) Statement ☒ Power of Attorney (3)  
(when there is an assignee)
11. ☐ English Translation Document (if applicable)
12. ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
13. ☐ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503)  
(Should be specifically itemized)
15. ☐ Certified Copy of Priority Document(s)  
(if foreign priority is claimed)
16. ☒ Nonpublication Request under 35 U.S.C. 122  
(b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent. (3)
17. ☐ Other: .....


18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

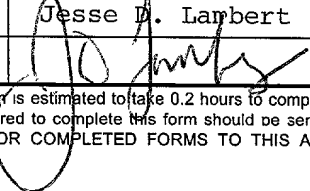
☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No. \_\_\_\_\_ / \_\_\_\_\_

Prior application information Examiner \_\_\_\_\_ Group Art Unit. \_\_\_\_\_

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

## 19. CORRESPONDENCE ADDRESS

<input checked="" type="checkbox"/> Customer Number or Bar Code Label			or	<input type="checkbox"/> Correspondence address below
Name	29148			
PATENT & TRADEMARK OFFICE				
Address				
City	State	Zip Code		
Country	Telephone	Fax		

Name (Print/Type)	Jesse D. Lambert	Registration No. (Attorney/Agent)	38,215
Signature		Date	March 5, 2002

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231

# FEE TRANSMITTAL for FY 2002

Patent fees are subject to annual revision.

☒ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$) 370.00

## Complete if Known

Application Number TBA  
Filing Date March 5, 2002  
First Named Inventor Robicheaux, Michael R.  
Examiner Name TBA  
Group Art Unit TBA  
Attorney Docket No. 9412-59294

## METHOD OF PAYMENT (check all that apply)

☒ Check ☐ Credit card ☐ Money Order ☐ Other ☐ None

☒ Deposit Account:

Deposit Account Number 15-0607  
Deposit Account Name Onebane, Bernard, Torian, Diaz, McNamara & Abell

The Commissioner is authorized to: (check all that apply)

☒ Charge fee(s) indicated below ☒ Credit any overpayments  
☐ Charge any additional fee(s) during the pendency of this application  
☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

## FEE CALCULATION

### 1. BASIC FILING FEE

Large Entity	Small Entity	Fee Code (\$)	Fee Code (\$)	Fee Description	Fee Paid
101	201	740	370	Utility filing fee	370
106	206	330	165	Design filing fee	
107	207	510	255	Plant filing fee	
108	208	740	370	Reissue filing fee	
114	214	160	80	Provisional filing fee	

SUBTOTAL (1) (\$) 370.00

### 2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

Extra Claims Fee from below Fee Paid  
Total Claims 11 -20\*\* = 0 X 0 = 0  
Independent Claims 3 -3\*\* = 0 X 0 = 0  
Multiple Dependent 0 = 0

Large Entity	Small Entity	Fee Code (\$)	Fee Code (\$)	Fee Description
103	203	18	9	Claims in excess of 20
102	202	84	42	Independent claims in excess of 3
104	204	280	140	Multiple dependent claim, if not paid
109	209	84	42	** Reissue independent claims over original patent
110	210	18	9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$) 0

\*\*or number previously paid, if greater; For Reissues, see above

## FEE CALCULATION (continued)

### 3. ADDITIONAL FEES

Large Entity Small Entity

Fee Code (\$)	Fee Code (\$)	Fee Description	Fee Paid
105	205	130 65 Surcharge - late filing fee or oath	
127	227	50 25 Surcharge - late provisional filing fee or cover sheet	
139	139	130 130 Non-English specification	
147	147	2,520 2,520 For filing a request for ex parte reexamination	
112	112	920* 920* Requesting publication of SIR prior to Examiner action	
113	113	1,840* 1,840* Requesting publication of SIR after Examiner action	
115	215	110 55 Extension for reply within first month	
116	216	400 200 Extension for reply within second month	
117	217	920 460 Extension for reply within third month	
118	218	1,440 720 Extension for reply within fourth month	
128	228	1,960 980 Extension for reply within fifth month	
119	219	320 160 Notice of Appeal	
120	220	320 160 Filing a brief in support of an appeal	
121	221	280 140 Request for oral hearing	
138	138	1,510 1,510 Petition to institute a public use proceeding	
140	240	110 55 Petition to revive - unavoidable	
141	241	1,280 640 Petition to revive - unintentional	
142	242	1,280 640 Utility issue fee (or reissue)	
143	243	460 230 Design issue fee	
144	244	620 310 Plant issue fee	
122	122	130 130 Petitions to the Commissioner	
123	123	50 50 Processing fee under 37 CFR 1.17(q)	
126	126	180 180 Submission of Information Disclosure Stmt	
581	581	40 40 Recording each patent assignment per property (times number of properties)	
146	246	740 370 Filing a submission after final rejection (37 CFR § 1.129(a))	
149	249	740 370 For each additional invention to be examined (37 CFR § 1.129(b))	
179	279	740 370 Request for Continued Examination (RCE)	
169	169	900 900 Request for expedited examination of a design application	

Other fee (specify)

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$)

## SUBMITTED BY

Name (Print/Type) Jesse D. Lambert Registration No. 38,215 Telephone (337) 237-2660  
Signature [Signature] (Attorney/Agent) Date March 5, 2002

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

CERTIFICATE OF EXPRESS MAILING

I HEREBY CERTIFY that the attached Application of Michael R. Robicheaux, Jeffrey A. Grist and Thomas L. Wilkerson for SYSTEM FOR REMOVING ORGANICS FROM A WASTEWATER STREAM, has on this 5th day of March, 2002 been deposited with the United States Postal Service as "Post Office to Addressee Express Mail" with Mailing Label Number ET265138026US affixed thereto and addressed to the Honorable Commissioner of Patents and Trademarks, BOX PATENT APPLICATION, Washington, D.C. 20231.

Jodi B. Bordelon

Print name of person mailing paper

Jodi B. Bordelon

Signature of person mailing paper

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**NONPUBLICATION REQUEST  
UNDER  
35 U.S.C. 122(b)(2)(B)(i)**

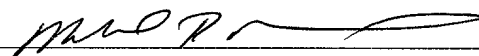
First Named Inventor	Robicheaux, Michael R.	
Title	System for Removing Organics from a Wastewater Stream	
Atty Docket Number	9412-59294	

I hereby certify that the invention disclosed in the attached application **has not and will not be** the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing.

I hereby request that the attached application not be published under 35 U.S.C. 122(b).

2/27/02

Date



Signature

Michael R. Robicheaux

Typed or printed name

This request must be signed in compliance with 37 CFR 1.33(b) and submitted with the application **upon filing**.

Applicant may rescind this nonpublication request at any time. If applicant rescinds a request that an application not be published under 35 U.S.C. 122(b), the application will be scheduled for publication at eighteen months from the earliest claimed filing date for which a benefit is claimed.

If applicant subsequently files an application directed to the invention disclosed in the attached application in another country, or under a multilateral international agreement, that requires publication of applications eighteen months after filing, the applicant **must** notify the United States Patent and Trademark Office of such filing within forty-five (45) days after the date of the filing of such foreign or international application. **Failure to do so will result in abandonment of this application (35 U.S.C. 122(b)(2)(B)(iii)).**

Burden Hour Statement: This collection of information is required by 37 CFR 1.213(a). The information is used by the public to request that an application not be published under 35 U.S.C. 122(b) (and the PTO to process that request). Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This form is estimated to take 6 minutes to complete. This time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

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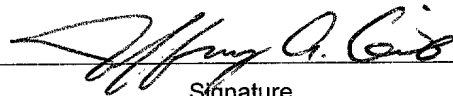
First Named Inventor	Robicheaux, Michael R.
Title	System for Removing Organics from a Wastewater Stream
Atty Docket Number	9412-59294

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I hereby request that the attached application not be published under 35 U.S.C. 122(b).

3-4-02

Date



Signature

Jeffrey A. Grist

Typed or printed name

This request must be signed in compliance with 37 CFR 1.33(b) and submitted with the application **upon filing**.

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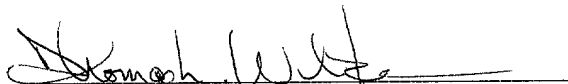
First Named Inventor	Robicheaux, Michael R.
Title	System for Removing Organics from a Wastewater Stream
Atty Docket Number	9412-59294

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I hereby request that the attached application not be published under 35 U.S.C. 122(b).

3-1-02

Date



Signature

Thomas L. Wilkerson

Typed or printed name

This request must be signed in compliance with 37 CFR 1.33(b) and submitted with the application **upon filing**.

Applicant may rescind this nonpublication request at any time. If applicant rescinds a request that an application not be published under 35 U.S.C. 122(b), the application will be scheduled for publication at eighteen months from the earliest claimed filing date for which a benefit is claimed.

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